9-26-05

#### IN THE UNITED STATS PATENT AND TRADEMARK OFFICE

IPW

Renewed Petition to Withdraw Holding of Abandonment Based On Failure TO Receive Office Astion under 37 CFR 1.181.

SEP 9. 6 2005

Art Unit: 3676

Examiner: BARRETT, SUZANNE LALE DINO

)

)

Confirmation No.: 5119

Applicant(s): WANG, CHI JUNG

1. Serial No.: 10/700,115

Filed: Oct. 31, 2003 ) <u>STATEMENT</u>

Title: ANTI-THEFT COMBINATION LOCK FOR CAR

Docket No.: P01889-F001

Mail Stop Petition

**Commissioner For Patents** 

P.O. Box 1450

Alexandria, VA 22313-1450

TEL: (571) 272-2622 FAX: (571)273-2622

Dear Sir:

The above-identified applicant has received a Notice of Abandonment mailed on 14<sup>th</sup> October 2004 and second decision on petition dated July 26, 2005. In response to this, I hereby apply for a petition to withdraw holding of abandonment based on failure to receive Office Action and attest to the fact that a search of the file jacket and docket records indicates that the Office Action Communication was not received as the enclosed translation shown. The evidences are provided as follows:

- 1. An affidavit is enclosed to affirmably state that I, the applicant Wang Chi-Jung, did not receive an Office Acton from USPTO mailed on 1<sup>st</sup> April 2004.
- 2. Translated documents of docket record of our administration department regarding the receiving mails from USPTO during the period of 30 March 2004 to 1<sup>st</sup> July 2004 are enclosed. The translated documents are notarized by a district court of Taipei, Taiwan.
- 3. Documentary evidences of mail received covering a reasonable period after the date of the office communication dated April 1, 2004 to show non-receipt of the communication in question.
- 4. Explanation of our computer database as a reminder for response before due day.
- 5. Base on the evidences of our computer database and the docket record of our firm, we maintained affirmably that there is no trace of the Non-final Office Action mailed on the 1<sup>st</sup>

April 2004 arrived in our firm.

6. We also got a verbal confirmation from the Mail Headquarter Office in Taipei to verify the numbers of air-mails delivered to our firm during the specific period 1<sup>st</sup> March 2004 to 1<sup>st</sup> July 2004 are corresponding to our database and docket record. However, the Mail Headquarter Office in Taipei will not provide any official document unless there is strong evidence to indicate that there was failure on the Taipei Mail Office.

Finally, I firmly certify that the correspondence address was actually a functioning one at the time the office action would have been received. A petition to withdraw holding of abandonment based on failure to receive Office Action is respectfully requested. Should there be any questions with respect to this statement and evidence, please contact me by fax to 886-2-87681797.

September 20, 2005

Date

Respectfully submitted,

WANG, CHI JUNG

Telephone: 886-2-87683696

WANG CHI JUNG

Telefax:886-2-87681797



PTO/SB/05 (06-03)

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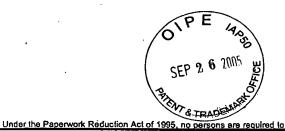
## UTILITY PATENT APPLICATION

Attorney Docket No.	P01889-F001	
First Inventor	Chi-Jung Wang	
Title	ANTI-THEFT COMBINATION	N .FOCI

	TRANSMITTAL	Title	ANTI-THEFT COMBINATION LOCK
(Only for r	new nonprovisional applications under 37 CFR 1.53(b))	Express Mail Label No.	
See MPEP o	APPLICATION ELEMENTS chapter 600 concerning utility patent application contents.	ADDRESS TO:	Mall Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
(Submit 2.	ed arrangement set forth below) iptive title of the invention Reference to Related Applications ment Regarding Fed sponsored R & D ence to sequence listing, a table, omputer program listing appendix ground of the Invention Summary of the invention Description of the Drawings (if filed) ad Description (s) act of the Disclosure	8. Nucleotide and/or A (if applicable, ail nec a. Computer b. Specificat i. CD- ii. Pap c. Statemer  ACCOMPAN	Readér Form (CRF) tion Sequence Listing on: ROM or CD-R (2 coples); or
5. Oath or Deci a. 📑 Nev b. 🗀 Cop (for i	wly executed (original or copy)  py from a prior application (37 CFR 1.63(d))  r continuation/divisional with Box 18 completed)  DELETION OF INVENTOR(S)  Signed statement attached deleting Inventor(s) name in the prior application, see 37 CFR  1.63(d)(2) and 1.33(b).  ication Data Sheet. See 37 CFR 1.76	10. 37 CFR 3.73( (when there is English Trans) 12. English Trans) 13. Preliminary A 14. Return Receit (Should be sp. (Should b	(b) Statement Power of Attorney station Document (if applicable) slisclosure Copies of IDS DS/PTO-1449 Citations mendment pt Postcard (MPEP 503) pocifically itemized) by of Priority Document(s) porty is claimed) on Request under 35 U.S.C. 122 applicant must attach form PTO/SB/35 ant.
specification for Continuation in For CONTINUAT 5b, is considered	information: Examiner	of CFR 1.76:  ation-in-part (CIP) of pr  Art Ur  The prior application, from which or divisional application and retently omitted from the submitted from the submitt	ior application No.:
	19. CORRESPON	IDENCE ADDRESS	
Custon	ner Number:	OR .	Correspondence address below
Name	Chi-Jung Wang		
Address	7F., No. 213, Civic Ro	oulevard. Sec	. 4
City	Taipei	State	Zip Code 105
Country	Taiwan, R.O.C.	Telephone 886-2-2	579-5882 Fax 886-2-2579-5889
Name (Print/Ty	-   Chi-Juna Wang	Registration No. (Attorne	ey/Agent)
Signature	YWANG CHI JUNG		Date Oct. 31, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-03)
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FEE TRANSMITTAL
for FY 2004

Application Num
Filing Date

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

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(30)	Ju	

	mplete if Known
Application Number	iprete ii Kilowii
Filing Date	
First Named Inventor	Chi-Jung Wang
Examiner Name	
Art Unit	
Attorney Docket No.	PO1889-F001

METHOD OF PAYMENT (check all that apply) FEE CALCULATION (co	ontinued)
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1003 530 2003 265 Plant filling fee 1402 330 2402 165 Filling a brief in support of	an appeal
1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing	ļ
1005 150 2005 80 Provisional filing fee   1451 1,510 1451 1,510 Petition to institute a public	use proceeding
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2, EXTRA CLAIM FEES FOR UTILITY AND REISSUE	lional
Fee from 500 1501 1,330 2501 665 Utility issue fee (or reissue	)
Total Claims	<u> </u>
Independent 300 040 2503 320 Plant issue fee	
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SUBMITTED BY

Name (Print/Type)

Name (Print/Type)

Name (Print/Type)

Signature

(Complete (if applicable))

Telephone 886-2-2579-588

Attermet/Agent)

Date Oct. 31, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is selfmated to take 12 minutes to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Attorney Docket Number

DECLARATION FOR UTILITY OR First Named Inventor Chi-Jung Wang **DESIGN** PATENT APPLICATION COMPLETE IF KNOWN (37 CFR 1.63) Application Number Filing Date Declaration Declaration OR Submitted Submitted after Initial Art Unit Filing (surcharge (37 CFR 1.16 (e)) With Initial Filing

	require	d)	Examiner	vame			
I hereby declare that:							
Each inventor's residence, ma	iling address, a	ınd citizenship are	as stated t	elow next to	their name.		
l belleve the inventor(s) name which a patent is sought on the	d below to be the invention ent	he original and firs	t inventor(s	) of the subje	ct matter wh	nich is claimed	and for
ANTI-THEF	r combin	ATION LOC	k for	CAR			
4h 15 41 5 h 1 - h		(Title of the	Invention)				
the specification of which							
is attached hereto							
OR							
was filed on (MM/DD/Y	YYY)		as Uni	ted States Ap	oplication No	umber or PCT I	nternational
Application Number		and was amende	ed on (MM/I	OD/YYYY)		(If	applicable).
I hereby state that I have revie amended by any amendment	wed and under specifically refe	stand the contents	of the abo	ve identified	specification	n, including the	claims, as
I acknowledge the duty to di continuation-in-part application and the national or PCT intern	ns, material infe	ormation which be	came avail	able between	defined in the filing of	37 CFR 1.56, date of the pric	including for application
I hereby claim foreign priority	benefits unde	r 35 U.S.C. 119(a	1)-(d) or (f)	or 365(b) o	f any foreig	n application(s	) for patent.
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Additional foreign applicat	ion numbers ar	e listed on a supp	emental pr	ority data sh	eet PTO/SR	/02B attached	hereto

[Page 1 of 2]
This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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[Page 1 of 2]

PTO/SB/01 (06-03)

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## **DECLARATION** — Utility or Design Patent Application

					_						
Direct all correspondence to:	Custome	er Number	:			OR	+	Corresp	ondence	addres	s below
Name Ch	i-Jung	Wang									
Address 7F., No. 21	3, Civic	:Boul	evard.	Sec	· 4	1					
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I hereby declare that all stater and belief are believed to be statements and the like so ma false statements may jeopardiz	e true; and fur de are punishat	ther that ble by fine	these state or imprise	ements	were	made	with der 18	the kno	władae t	hat will	ful false
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Given Name (first and middle [if any])	Chi-Jung				F	amily r Surn	Name		Vang		
Inventor's Signature WANG	CHI	JU	ING					<del></del>	Date Oct.	31,	2003
Residence: City	State			Count	rv			Citizer	nshin		
Keelung City				Taiv	van,	R.C	.c.	1	Lwan,	R.O.	o.
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NAME OF SECOND INVENTO	PR:		<u> </u>		A pa	stition I	nas bee	en filed f	or this un:	signed i	nventor
Given Name (first and middle [if any])					Fa	mily N Surna	ame	-			
Inventor's Signature				. <b></b> .					Date		
Residence: City	State			Count	ry			Citizen	ship		
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Additional inventors or a legal re	presentative are bei	ng named or	n the •	unalemen	ital show	·	NSB MOA	Ar 03! D =	ittached ber		

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Approved for use through 04/30/2003. OMB 0561-0301

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### Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Oct. 31, 2003 on\_\_\_\_\_\_

XWANG CHI JUNG

Signature

Chi-Jung Wang

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

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I, the undersigned,

#### Wang, Chi-Jung

do hereby make oath and state:

- I am an adult male residing at 9 F, No 161, Nuanding road, Nuannuan District,
   Keelung city Taiwan. I annex hereto, marked NF 1, a copy of ID/Passport.
- 2. The facts deposed to herein are within my personal knowledge true and correct.
- 3. In 31<sup>st</sup> October 2003, I filed a patent application named, <u>Anti-Theft</u>

  <u>Combination Lock for Car</u> to the US Patent Office. I annex a copy of the filing document, marked NF 2.
- On 11<sup>th</sup> February 2004, I received a filing receipt from US Patent Office mailed on the 2<sup>nd</sup> of February 2004, I annex hereto a copy of the filing receipt, marked NF 3.
- On 21<sup>st</sup> October 2004, I received a first Notice of Abandonment from US
   Patent Office mailed on 14<sup>th</sup> October 2004. I annex hereto a copy of the document, marked NF4.
- 6. On 22<sup>nd</sup> October 2004, I filed a Petition to Withdraw Holding of Abandonment on the ground of failing to receive a Non-final Office Action from the US Patent Office. A copy of the petition is hereto annexed, marked NF 5.
- 7. On 14<sup>th</sup> April 2005, I received a second Notice of decision on petition to withdrawn the holding of abandonment, mailed on 25<sup>th</sup> March 2005. I annex hereto a copy of the document, marked NF 6.

- 8. On 10<sup>th</sup> May 2005, I filed a second Petition to Withdraw the Holding of abandonment based on failing to receive a Non-final Office Action from US Patent Office. I annex thereto a copy of the document, marked NF 7.
- On 10<sup>th</sup> August 2005, I received a third Notice of decision on petition to withdraw the holding of abandonment, mailed on 26<sup>th</sup> July 2005. I annex hereto a copy of the document, marked NF 8.
- 10. Accordingly, based on the above-statements, I further make an oath and state that I have never received the Office Action, which the USPTO claimed to mail on 1st April 2004.

WANG CHI JUNG

Juror:

- I certify that before administering the affirmation I ask the deponent the following question and wrote down her answers in her presence:
- (i) Do you know and understand the contents of the declaration?

yes.

(ii) Do you have any objections to making the prescribed affirmation?

7,00.

(iii) Do you consider the prescribed affirmation binding on your conscience?

goo

2. I certify that the deponent has acknowledged that she knows and understand the contents of this declaration which was affirmed before me and that the deponent's signature was placed thereof the presence

COMMISSIONER OF OATHS

Full name. Hou, Ching-Chen

Address 9F., No.37, Dongsing Road, Xinyi District, Taipei, Taiwan R.O.C. Designation (rank)...Attorney at Law of Taipei Bar

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Chi-Jung Wang

Civic Boulevard, Sec. 4

7F., No. 213

Taipei, 105

**TAIWAN** 

United States Patent and Trademark



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UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Offices
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Alexandra, Virginia 22313-1450
www.uspla.gov

APPL NO. | FILING OR 371 | ART UNIT | FIL FEE REC'D | ATTY.DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS | 10/700,115 | 10/31/2003 | 3676 | 385 | P01889-F001 | 7 | 7 | 1

CONFIRMATION NO. 5119

FILING RECEIPT

\*OC000000011814775\*

Date Mailed: 02/02/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Chi-Jung Wang, Keelung City, TAIWAN;

Domestic Priority data as claimed by applicant

Foreign Applications

TAIWAN 091218308 11/14/2002

If Required, Foreign Filing License Granted: 02/02/2004

Projected Publication Date: 05/20/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Anti-theft combination lock for car

**Preliminary Class** 

Receipt is Acknowledged

FEB 1 1 2004

Ø,

Giant Group International Pat., TM & Law Office

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

	SEP 2	6 7005 <sub>H</sub>	UNITED STATES DEPAR United States Patent and ' Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	Trademark Office OR PATENTS
APPLICATION NO.	FILINGDATE	F/ FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/700,115	10/31/2003 <sub>8</sub> TRAD	Chi-Jung Wang	P01889-F001	5119
759			EXAM	INER
Chi-Jung Wan	g		BARRETT, SUZAI	NNE LALE DINO
7F., No. 213 Civic Boulevard	Sec 1		ART UNIT	PAPER NUMBER

Taipei, 105 TAIWAN

3676 DATE MAILED: 10/14/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Receipt is Acknowledged

OCT 2 1 2004

Giant Group International Pat., TM & Law Office

· · · ·	OIPE -
	Application No. 7 Applicant(s)
Notice of Abandonment	10/700,115 SEP 2 6 2005 WANG, CHI-JUNG
Notice of Abandonment	Examiner Art Unit
	Suzanne Dino Barrett 3676
The MAILING DATE of this communication app	pears on the cover sheet with the correspondence address
This application is abandoned in view of:	
period for reply (including a total extension of time of	Mailing or Transmission dated ), which is after the expiration of the
(A proper reply under 37 CFR 1.113 to a final rejection	n consists only of. (1) a timely filed amendment which places the
application in condition for allowance; (2) a timely filed Continued Examination (RCE) in compliance with 37 (	Notice of Appeal (with appeal fee); or (3) a timely filed Request for
(c) A reply was received on but it does not constitution final rejection. See 37 CFR 1.85(a) and 1.111. (See	ute a proper reply, or a bona fide attempt at a proper reply, to the non- explanation in box 7 below).
(d) ⊠ No reply has been received.	
2. Applicant's failure to timely pay the required issue fee and from the mailing date of the Notice of Allowance (PTOL-8	d publication fee, if applicable, within the statutory period of three months
(a) The issue fee and publication fee, if applicable, was	s received on (with a Certificate of Mailing or Transmission dated eriod for payment of the issue fee (and publication fee) set in the Notice of
(b) The submitted fee of \$ is insufficient. A balance	e of\$ is due.
The issue fee required by 37 CFR 1.18 is \$	The publication fee, if required by 37 CFR 1.18(d), is \$
(c) The issue fee and publication fee, if applicable, has no	ot been received.
<ul> <li>Applicant's failure to timely file corrected drawings as requ Allowability (PTO-37).</li> </ul>	uired by, and within the three-month period-set in, the Notice of
(a) ☐ Proposed corrected drawings were received on after the expiration of the period for reply.	_ (with a Certificate of Mailing or Transmission dated), which is
(b) No corrected drawings have been received.	•
.   The letter of express abandonment which is signed by the the applicants.	e attorney or agent of record, the assignee of the entire interest, or all of
<ol> <li>The letter of express abandonment which is signed by an 1.34(a)) upon the filing of a continuing application.</li> </ol>	attorney or agent (acting in a representative capacity under 37 CFR
<ol> <li>The decision by the Board of Patent Appeals and Interference of the decision has expired and there are no allowed clair</li> </ol>	rence rendered on and because the period for seeking court review ms.
7. ☐ The reason(s) below:	
Rec	eipt is Acknowledged
	OCT 9 1 200) (
	OCT 2 1 2004
Gia	ant Group International
	at_TM & Law Office Suzanne Dino Barrett Primary Examiner Art Unit: 3676
	AL OHE. 3070

Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.

J.S. Patent and Trademark Office
PTOL-1432 (Rev. 04-01)

Notice of Abandonment

Part of Paper No. 10072004

Art Unit: 3676

Confirmation No.: 5119

STATEMENT

#### IN THE UNITED STATS PATENT AND TRADEMARK OFFICE

SEP 2 6 2005

Petition to Withdraw Holding of Abandonment Based On Failure TO Receive Office Action

GER & G Junz M

Applicant(s): WANG, CHI JUNG

Serial No.: 10/700,115

Filed: Oct. 31, 2003

Title: ANTI-THEFT COMBINATION LOCK FOR CAR

Docket No.: P01889-F001

Mail Stop Petition

Commissioner For Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TEL: (571) 272-2622 FAX: (571)273-2622

Sir:

The above-identified applicant has received Notice of Abandonment mailed Oct. 14, 2004. In response to this, I hereby petition to withdraw holding of abandonment based on failure to receive Office Action and attest the fact that a search of the file jacket and docket records indicates that the Office Action Communication was not received as the enclosed translation shown. A petition to withdraw holding of abandonment based on failure to receive Office Action is respectfully requested. Should there be any questions with respect to this statement and evidence, please contact Kate Chen on the above-identified petitioner's behalf by fax at 886-2-2579-5889.

Respectfully submitted,

Examiner: BARRETT, SUZANNE LALE DINO

October 22, 2004

Date

WANG CHI JUNG

WANG, CHI JUNG

Telephone: 886-2-2579-5882

Telefax:886-2-2579-5889

Enclosure: 6 pages of docket records in Chinese

6 pages of docket records in English

明治光六甲



PTO/SB/92 (09-04)
Approved for use through 07/31/2006. 0MB 0561-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
a collection of Information unless it contains a valid QMB control number.

## Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Oct. 22, 2004  Date	
	regular and Jung
	Signature
WANG, CHI JUNG	_
Typed or printed r	name of person signing Certificate
	886-2-2579-5882
Registration Number, if applicable	Telephone Number
Registration Number, if applicable	Telephone Number
4	
e: Each paper must have its own certificat each submitted paper.	e of malling, or this certificate must identify

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.





MAR 2 5 2005

SEP 2 6 2005

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. BOX 1450
ALEXANDRIA, VA 2231 3-1450
WWW.uspto.gov

MAILED

MAR 2 5 2005 OFFICE OF THE DIRECTOR TC 3600

APR 1 4 2005

DECISION ON PETITION TO WITHDRAW THE HOLDING OF ABANDONMENT

Chi-Jung Wang 7F., No. 213 Civic Boulevard. Sec. 4 Taipei, 105 Taiwan

In re Application of Chi-Jung Wang Application No. 10/700,115

Filed: October 31, 2003

ANTI-THEFT COMBINATION LOCK

FOR CAR

This is a decision on applicant's petition to withdraw the holding of abandonment, filed in the United States Patent and Trademark Office (USPTO), on October 27, 2004.

The petition is **DISMISSED**.

A review of the file record reveals that the application became abandoned for failure to respond to the Office action mailed to applicant on April 1, 2004. A Notice of Abandonment was mailed on October 14, 2004.

Applicant's petition indicates that the Office action was never received. In support thereof applicant has provided a log of papers received. This is not considered to be a docket record.

There is a strong presumption that Office communications properly addressed and delivered to the United States Postal Services, are in fact delivered to the addressee. An allegation that the Office communication was not received must be overcome by a showing that it was not received.

The showing required to establish non-receipt of an Office communication must include all of the following requirements:

- (1) A statement from the practitioner stating the Office communication was not received by the practitioner;
- (2) A statement attesting to the fact that a search of the file jacket and docket records indicates that the Office communication was not received; and
- (3) A copy of the docket record where the non-received Office communication would have been entered had it been received and docketed must be attached to and referenced in practitioner's statement.

The docket records indicated above must include <u>a copy of the list of all responses in the practitioner's office with the due date at and around July 1, 2004</u>. See Notice entitled "Withdrawing the Holding of Abandonment When Office Actions Are Not Received," 1156 OG 53 (November 16, 1993).

The petitioner has failed to comply with requirement (3) indicated above. Applicant has only set forth that the Office action mailed April 1, 2004 was not received or placed in the file for application 10/700,115. A complete docket record with a copy of the list of all responses in the practitioner's office with the due date at and around July 1, 2004 is required.

Petitioner's evidence of non-receipt of the Office action mailed April 1, 2004 is insufficient to withdraw the holding of abandonment, and the petition is **DISMISSED**.

Any request for reconsideration of this decision must be submitted within **TWO (2) MONTHS** from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition Under 37 CFR 1.181."

Correspondence with respect to a Petition to Withdraw the Holding of Abandonment under *Delgar Inc. v. Schuyler* should be mailed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kenneth J. Dorner

Special Program Examiner Patent Technology Center 3600

(703) 308-0866

KJD/jwk: 3/22/05

Examiner: BARRETT, SUZANNE LALE DINO

)

)

Confirmation No.: 5119

Applicant(s): WANG, CHI JUNG

Serial No.: 10/700,115

Filed: Oct. 31, 2003 ) Statement

Title: ANTI-THEFT COMBINATION LOCK FOR CAR

Docket No.: P01889-F001

Commissioner For Patents P.O. Box 1450

Alexandria, VA 22313-1450

TEL: (571) 272-2622 FAX: (571)273-2622

Dear Sir:

This letter is in responsive to your decision on petition to withdraw the holding of abandonment mailed on March 25, 2004. I declared that I have never received the office communication mailed on October 14, 2004 and petition to withdraw holding of abandonment based on failure to receive Office Action and attest the fact that a search of the file jacket and docket records indicates that the Office Action Communication was not received as shown. Per your request for a copy of the docket record where the non-received office communication would have been entered had it been received and docketed, we attach a list of records indicating the airmail sent around and at July 1, 2004 as well as the photocopies of mailing receipts stamped by post office to prove no response for the identified application has ever been received and responded. Therefore, I herewith request to withdraw holding of abandonment for this application.

Respectfully submitted,

May 9, 2005

Date

WANG CHI JUNG WANG, CHI JUNG

Telephone: 886-2-8768-3696

Telefax: 886-2-8768-1797

Enclosure: 1 page of a list of docket records in English Official Receipts stamped by post office

The followings are translations of docket records for all mails sent to USPTO around and at July 1, 2004

Date	Our Ref.	Subject	Note
July 16, 2004	P00224-F016	Patent Application No. 10/893,635	14016
July 16, 2004	PJ0302-F025	Patent Application No. 10/893,734	<del></del>
July 12, 2004	D02706-F014	Issue Fee for Design Serial No. 29/197,526	
July 9, 2004	D00224-F005	Petition for Design Serial No. 29/166,678	
July 9, 2004	P02995-F028	Patent Application No. 10/888,621	
July 8, 2004	PJ0302-F024	Patent Application No 10/888,707	
July 8, 2004	P02995-F024	Patent Application No. 10/888,706	
July 2, 2004	P02585-F001	Patent Application No.10/884,472	
June 21, 2004	P02574-F007	Patent Application No. 10/874,868	
June 17, 2004	P01371-F001	Patent Application No. 10/871,414	
June 17, 2004	P02368-F005	Patent Application No. 10/871,415	
June 17, 2004	P02861-F003	Patent Application No. 10/871,416	
June 14, 2004	P01330-F028	Patent Application No. 10/700,114	
June 14, 2004	D02706-F017	Design Application No. 29/207,644	



#### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Chi-Jung Wang
7F., No. 213
Civic Boulevard, Sec. 4
Taipei,
105

MAILED FROM DIRECTORS OFFICE

JUL **2 6** 2005

**TECHNOLOGY CENTER 3600** 

In re Application of Chi-Jung Wang

Taiwan

Application No. 10/700,115

Filed: October 31, 2003

For: ANTI-THEFT COMBINATION LOCK

FOR CAR

DECISION ON PETITION TO WITHDRAW THE HOLDING OF ABANDONMENT

This is in response to applicant's renewed petition to withdraw the holding of abandonment filed in the United States Patent and Trademark Office (USPTO) on May 9, 2005.

The petition is **DISMISSED**.

A review of the file records reveals that the application was held abandoned for failure to timely file a reply to the non-final Office action mailed April 1, 2004. A Notice to that affect was mailed October 14, 2004.

There is a strong presumption that mail properly addressed and delivered to the United States Postal Service was in fact delivered to the addressee. An allegation that an Office communication was not received may be considered in a formal petition for the withdrawal of the holding of abandonment, in accordance with *Delgar Inc. v. Schuyler*, 172 USPQ 513. However, the presumption that the Office communication was delivered to applicant may be overcome by a showing that the communication was not, in fact, received. The dismissal of applicant's previous petition mistakenly included the requirements for statements showing non-receipt of papers when an attorney is representing the applicant. The Office apologizes for this error, and the requirements for pro se applicants are stated below.

For a pro se applicant 1156 O.G. 53 does not apply, therefore statements of non-receipt should include a statement by the applicant, <u>and by anyone else at</u>

applicant's correspondence address who would have handled the Office communication, and include any available documentary evidence of mail received, covering a reasonable period after the date of the Office communication, to show non-receipt of the communication in question. Copies of records on which the receipt date of the Office communication would have been entered had it been received, (e.g., a copy of the outside of the file maintained by applicant), are required if available. Also, a showing of any docket records or other method which would serve as a reminder of a response due date should be submitted. Whatever method applicant uses as a reminder, and submits in response to this decision should be adequately explained. Also, a statement is required that a search of the file maintained by applicant, or any other location where correspondence from the USPTO is kept, failed to find a copy of the Office communication in question. Finally, applicant must state that he was in fact at the correspondence address of record or that the correspondence address was a functioning one at the time the Office action would have been received.

Any such exhibits should be submitted as part of statement(s) showing that no Office communication was ever received.

Applicant's petition of May 9, 2005 does not include any statement by the applicant that the office action was not received, nor any statement that the applicant was at the correspondence address at the time the office action would have been received. Also, no showing has been made of any docket records or any other method that serves as a reminder of a response due date.

Applicant states that the office communication mailed October 14, 2004 was not received, however this communication was the Notice of Abandonment.

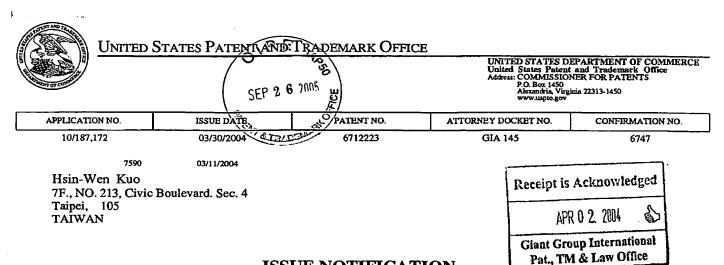
Any request for reconsideration of this decision must be submitted within **TWO** (2) **MONTHS** from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition Under 37 CFR 1.181" and should be mailed to the Commissioner for Patents, P.O. Box 1450, Technology Center 3600, Alexandria, VA 22313-1450.

Steven N. Meyers

Special Programs Examiner Patent Technology Center 3600

(571) 272-6611

SNM/js: 7/21/05



ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

#### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

#### APPLICANT(S):

Hsin-Wen Kuo, Kaohsiung, TAIWAN;



#### UNITED STATES PATENT AND TRADEMARK OFFICE

P01727-F005

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMINSSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspio.gov

APPLICATION NO. ISSUE DATE PATENT NO. ATTORNEY DOCKET NO. CONFIRMATION NO. 10/054,991 04/27/2004 6727897 GGI0039-US 7711 7590 04/08/2004 Receipt is Acknowledged Wu Mao-sung SEP 2 6 2005 7F., No. 213 Civic Boulevard. Sec. 4 APR 1 5, 2004 Taipei. 105 **TAIWAN** Giant Group International Pat., TM & Law Office

**ISSUE NOTIFICATION** 

The projected patent number and issue date are specified above.

#### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 279 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

#### APPLICANT(S):

Wu Mao-Sung, Taoyuan, TAIWAN;

Do>344-Foo



SEP 2 6 2005 W

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 13, 2004

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YI-HUNG CHEN
7F., NO. 213, CIVIC BOULEVARD, SEC. 4
TAIPEI, TWX R.O.C.

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/12/2003

REEL/FRAME: 014497/0153

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHEN, YI-HUNG

DOC DATE: 08/13/2003

ASSIGNEE:

A-TEC SUBSYSTEM INC.

12F-11, NO. 79, SEC. 1, HSIN TAI

WU RD.

HSI CHIH, TAIPEI, TAIWAN R.O.C.

SERIAL NUMBER: 29189889

PATENT NUMBER:

FILING DATE: 09/12/2003

ISSUE DATE:

Receipt is Acknowledged

APR 2 1 2004

Giant Group International Pat., TM & Law Office

#### 014497/0153 PAGE 2

SAUNDRA BALLENGER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



(Rev. 10/02)		U.S. DEPARTMENT OF COMMERC	CE
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Tab settings ⇔ ⇔ ▼	<b>Y</b>	<b>V V V</b>	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.			
Name of conveying party(ies):	DE	Name and address of receiving party(ies)	
Yi-Hung Chen	" " " " " " " " " " " " " " " " " " "	Name: A-TEC SUBSYSTEM INC.	
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Additional name(s) of conveying party(es) attached	,9/	/	
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Other		Taipei, Taiwan, R.O.C.	-
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Name: Yi-Hung Chen	•	7 Total foo (37 CER 2 44)	-
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Sec. 4,			İ
Taipei, Taiwan, R.O.C.	<u>-</u>		-
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9. Statement and signature.			
to the best of my knowledge and belief,	the foregoing in	nformation is true and correct and any attached copy	ĺ
is a true copy of the original document. Yi-Hung Chen			ŀ
		篇师 Ji Lung Chen August 13, 2003	
Name of Person Signing		Signature Date	
Total number of pa	ges including cover	r sheet, attachments, and documents:	

#### **ASSIGNEMENT**

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

> A-TEC SUBSYSTEM INC. 12F-11, NO. 79, Sec. 1, Hsin Tai Wu Rd., Hsi Chih, Taipei, Taiwan, R.O.C.

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to his invention relating to

EXTERNAL ENCLOSURE

as set forth in his United States Patent	: Application	
(x) executed concurre	ently herewith	
( ) executed on		
[ ] Serial No.	filed	

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors, legal representatives or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees that at any time upon request of said ASSIGNEE, its successors, legal representatives or assigns he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said

ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted thereof or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the Untied States for said invention.

Yi-Hung Chen	In yi Iding Co	August 13, 2003
Name of sole or first inventor	Signature	Date
Name of second inventor, if any	Signature	Date
Name of third inventor, if any	Signature	Date
Name of fourth inventor, if any	Signature	Date
Name of fifth inventor, if any	Signature	. Date

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 13, 2004

PTAS

DANNY LIAO 7F., 213, CIVIC BOULEVARD TAIPEI, TAIWAN R.O.C. 105

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/12/2003

REEL/FRAME: 014497/0156

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LIAO, DANNY

DOC DATE: 08/13/2003

ASSIGNEE:

A-TEC SUBSYSTEM INC. 12F-11, NO. 79, SEC. 1 HSIN TAI WEU RD., HSI CHIH TAIPEI R.O.C., TAIWAN

SERIAL NUMBER: 29189888

PATENT NUMBER:

FILING DATE: 09/12/2003

ISSUE DATE:

Receipt is Acknowledged

APR 2 1 2004

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Giant Group International Pat., TM & Law Office

.014497/0156 PAGE 2

JOANN STEWART, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

09-22-2003 

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U.S. DEPARTMENT	OF	COM	MERCE
U.S. Patent and	Tra	dema	rk Office

Form PTO-1595 RE (Rev. 10/02) 102554542 OMB No. 0651-0027 (exp. 6/30/2005) To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies) A-TEC SUBSYSTEM INC. Name: Danny Liao SAME AS BELOW Internal Address: \_\_\_\_\_ Additional name(s) of conveying party(ies) attached? [ Yes E No 3. Nature of conveyance: Assignment □ Merger Street Address: 12F-11, No. 79, Sec. 1. ☐: Security Agreement ☐ Change of Name Hsin Tai Wu Rd., Hsi Chih, Other\_ R.O.C. City: Taipei, TaiwanState:\_\_\_\_ August 13, 2003 Execution Date:\_\_\_\_\_ Additional name(s) & address(es) attached? Yes No 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:\_\_\_\_\_ A. Patent Application No.(s) B. Patent No.(s) Additional numbers attached? Yes No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Danny Liao 7. Total fee (37 CFR 3.41).....\$ Name:\_ Same as below Enclosed Internal Address:\_\_\_ Authorized to be charged to deposit account 8. Deposit account number: Street Address: 7F., No. 213, Civic Boulevard Sec. 4, (Attach duplicate copy of this page if paying by deposit account) City: Taipei, Taiwanate R.O.C. Zip: 105 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Danny Liao August 13, 2003 Name of Person Signing Signature Date

> Total number of pages including cover sheet, attachments, and documents: Mail documents to be recorded with required cover sheet information to:

09/15/2003 LWONDIM1 00000046 29189888

ommissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

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#### <u>ASSIGNEMENT</u>

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

A-TEC SUBSYSTEM INC. 12F-11, No. 79, Sec. 1, Hsin Tai Wu Rd., Hsi Chih, Taipei, Taiwan, R.O.C.

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to his invention relating to

#### EXTERNAL ENCLOSURE

as set forth in his	United States Pa	atent Application	
( x	) executed cond	currently herewith	
(	) executed on		
(	] Serial No.	filed	

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors, legal representatives or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees that at any time upon request of said ASSIGNEE, its successors, legal representatives or assigns he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said

ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted thereof or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the Untied States for said invention.

Danny Liao 题序	Danny Liao.	August 13,2003	
Name of sole or first inventor	Signature	Date	
Name of second inventor, if any	Signature	Date	
Name of third inventor, if any	Signature	Date	
Name of fourth inventor, if any	Signature	Date	
Name of fifth inventor, if any	. Signature	Date	

DO>344-1005





#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 14, 2004

REFERENCED BELOW.

**PTAS** 

YI-HUNG CHEN 7F., NO. 213, CIVIC BOULEVARD, SEC. 4 TAIPEI, TAIWAN, R.O.C. 105

\*102556389A\*

Receipt is Acknowledged APR 2 6, 2004

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

Giant Group International Pat., TM & Law Office THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,

SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/12/2003

REEL/FRAME: 014498/0165

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHEN, YI-HUNG

DOC DATE: 08/13/2003

ASSIGNEE:

A-TEC SUBSYSTEM INC. 12F-11, NO. 79, SEC. 1, HSIN TAI WU RD., HSI CHIH TAIPEI, TAIWAN R.O.C.

SERIAL NUMBER: 29189890

PATENT NUMBER:

FILING DATE: 09/12/2003

ISSUE DATE:

014498/0165 PAGE 2

ALLYSON PURNELL, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

Tab settings ⇒ ⇒ ⇒ ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼		II S Datent and Trademort Office
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.  1. Name of conveying party(les):  Yi-Hung Chen  Q		U.S. Patent and Trademark Office
1. Name of conveying party(ies):  Yi-Hung Chen  Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No  3. Nature of conveyance: ☐ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other		
Additional name(s) of conveying party(ies) attached? : Yes : No  3. Nature of conveyance:  Assignment : Merger : Change of Name  Change of Name : A-TEC SUBSYSTEM INC.  3. Nature of conveyance:  Assignment : Merger : Street Address: Same as below : Street		Please record the attached original documents or copy thereof.
Additional name(s) of conveying party(es) attached? : Yes : No  3. Nature of conveyance:  Assignment : Merger  Street Address: 12F-11, No. 79, Sec. 1,  Hsin Tai Wu Rd., Hsi Chih,  Taipei, Taiwan, R.O.C. City: State: Zip:  Execution Date: August 13, 2003  Additional name(s) & address(es) attached? : Yes : No  4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the execution date of the application is:  A. Patent Application No.(s)  Additional numbers attached? : No  5. Name and address of party to whom correspondence concerning document should be mailed:  6. Total number of applications and patents involved:	Name of conveying party(ies):	I
Additional name(s) of conveying party(ies) attached?	Yi-Hung Chen	Name: A-TEC SUBSYSTEM INC.
3. Nature of conveyance:  Assignment	9-12-03	Internal Address:same as below
Assignment	Additional name(s) of conveying party(ies) attached? 🚉 Yes 🔠 No	
Street Address: 12F-11, No. 79, Sec. 1,    Security Agreement	3. Nature of conveyance:	8° 1 =
Hsin Tai Wu Rd., Hsi Chih,   Taipei, Taiwan, R.O.C.   City: State: Zip: Additional name(s) & address(es) attached?   Yes   No	Assignment	12F-11 NO 79 Sec 1
Taipei, Taiwan, R.O.C. City:State:Zip:  Additional name(s) & address(es) attached?    B. Patent No.(s)  Additional numbers attached?	Security Agreement Change of Name	
Execution Date:August 13, 2003	Other	
August 13, 2003  Additional name(s) & address(es) attached?    Yes    No  4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the execution date of the application is:  A. Patent Application No.(s)  B. Patent No.(s)  Additional numbers attached?  Yes    No  5. Name and address of party to whom correspondence concerning document should be mailed:		Taipei, Taiwan, R.O.C. City:State: Zip:
4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the execution date of the application is:  A. Patent Application No.(s)  B. Patent No.(s)  Additional numbers attached? ☐ Yes ☐ No  5. Name and address of party to whom correspondence concerning document should be mailed:  6. Total number of applications and patents involved: ☐	Execution Date: August 13, 2003	
A. Patent Application No.(s)  Additional numbers attached?   Additional number of applications and patents involved:   Concerning document is being filed together with a new application, the execution date of the application is:  B. Patent No.(s)  Additional numbers attached?   Concerning to whom correspondence concerning document should be mailed:	4. Application number(s) or patent number(s):	
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concerning document should be mailed:	Additional numbers att	l ached?  □ੂ: Yes  □; No
	Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:
Name:Yi-Hung Chen 7. Total fee (37 CFR 3.41)\$_40		7. Total fee (37 CFR 3.41)\$40
Internal Address: same as below Enclosed		Enclosed
Authorized to be charged to deposit account		☐: Authorized to be charged to deposit account
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Street Address: 7F., No. 213, Civic Boulevard.	:8021 40.00 OP	
	Street Address: 7F., No. 213, Civic Boulevard.	8. Deposit account number
Taipei, Taiwan,R.O.C.  City: State: Zip: (Attach duplicate copy of this page if paying by deposit account)		8. Deposit account number
	Street Address: 7F., No. 213, Civic Boulevard. Sec. 4, Taipei, Taiwan, R.O.C.	8. Deposit account number:
DO NOT USE THIS SPACE  9. Statement and signature.	Street Address: 7F., No. 213, Civic Boulevard. Sec. 4, Taipei, Taiwan, R.O.C. City:	8. Deposit account number:  (Attach duplicate copy of this page if paying by deposit account)
· To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy	Street Address: 7F., No. 213, Civic Boulevard. Sec. 4,  Taipei, Taiwan, R.O.C. City:State:Zip:	8. Deposit account number:  (Attach duplicate copy of this page if paying by deposit account)
is a true copy of the original document.	Street Address: 7F., No. 213, Civic Boulevard. Sec. 4,  Taipei, Taiwan, R.O.C. City:State:Zip:  DO NOT USE  9. Statement and signature.	8. Deposit account number:  (Attach duplicate copy of this page if paying by deposit account)  THIS SPACE
Name of Person Signing Signature Date	Street Address: 7F., No. 213, Civic Boulevard. Sec. 4,  Taipei, Taiwan, R.O.C. City:State:Zip:	8. Deposit account number:  (Attach duplicate copy of this page if paying by deposit account)  THIS SPACE  Information is true and correct and any attached copy
Total number of pages including cover sheet, attachments, and documents:	Street Address: 7F., No. 213, Civic Boulevard. Sec. 4,  Taipei, Taiwan,R.O.C. City:State:Zip:	8. Deposit account number:  (Attach duplicate copy of this page if paying by deposit account)  THIS SPACE  Information is true and correct and any attached copy  Ji Lhung Chan August 13, 2003

# **ASSIGNEMENT**

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

> A-TEC SUBSYSTEM INC. 12F-11, NO. 79, Sec. 1, Hsin Tai Wu Rd., Hsi Chih, Taipei, Taiwan, R.O.C.

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to his invention relating to

#### MP3 PLAYER

as set forth in his United States Pate	ent Application
(x) executed concu	rrently herewith
( ) executed on	
( ) Serial No.	filed

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors, legal representatives or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees that at any time upon request of said ASSIGNEE, its successors, legal representatives or assigns he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said

ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted thereof or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the Untied States for said invention.

Yi-Hung Chen	新聞 Yi Tokung Co	her August 13, 2003
Name of sole or first inventor	Signature	Date
Name of second inventor, if any	Signature	Date
Name of third inventor, if any	Signature	Date
Name of fourth inventor, if any	Signature	. Date
Name of fifth inventor, if any	Signature	Date



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
29/188,016	08/12/2003	Chen-Fen Chen	D02706-F008	5203
759	04/09/2004	PE 4050	EXAM	INER
Chen-Fen Chen 7F., NO. 213 Civic	Roulevard Sec 4	8 5007 W	HEFLIN,	CLARE E
Taipei, 105	Boulevard, Bee, 4	/ ces () ()	ART UNIT	PAPER NUMBER
TAIWAN		PATENT & TRANSPORT	2911	
		TENT & TRANSPORT	DATE MAILED: 04/09/2004	1

# Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)

Design patents have a term measured from the issue date of the patent and the term remains the same length regardless of the time that the application for the design patent was pending. Since the above-identified application is an application for a design patent, the patent is not eligible for Patent Term Extension or Adjustment under 35 U.S.C. 154(b).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

Receipt is Acknowledged

APR 2 8 2004 

Giant Group International 
Pat., TM & Law Office

				PE	14/40	
	Application No.	App	licant(s)	0	8	
	29/188,016	CHE	EN, CHEN-FEI	N .c	6 500p	
Notice of Allowability	Examiner		Unit \	I SEP	6 July	
	Clare E Heflin	291	1	13x		
		. <u></u>	<u> </u>	T. COLE	THE	
The MAILING DATE of this communication a All claims being allowable, PROSECUTION ON THE ME (or previously mailed), a Notice of Allowance and Issue Fee D NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATEN' of the Office or upon petition by the applicant. See 37 CFR 1.	RITS IS (OR REMAINS) Ue or other appropriate T RIGHTS. This applica	<ul> <li>CLOSED in this app communication will be</li> </ul>	lication. If not a mailed in due	included course.	THIS	
1. This communication is responsive to					1	
2. The single design claim is allowed.						
3. The drawings filed on 12 August 2003 are acceptable	as formal drawings.				ļ	
<ul> <li>4. ☐ Acknowledgment is made of a claim for foreign priority</li> <li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li> </ul>	<del>-</del>	a)-(d).				
1. Certified copies of the priority documents h	nave been received.					
2. Certified coples of the priority documents i	nave been received in A	pplication No				
3. Copies of the certified copies of the priority	documents have been	received in this nation	nal stage appli	cation fro	m the	
International Bureau (PCT Rule 17.2(a)	).		•			
* Certified copies not received:						
5. Acknowledgement is made of a claim for domestic prio	rity under 35 U.S.C. &	19(e).				
Applicant has THREE MONTHS FROM THE "MAILING DATE below. Failure to timely comply will result in ABANDONMENT 6.   Note the attached EXAMINER'S AMENDMENT or NO the oath or declaration is deficient. A SUBSTITUTE of the control of the	T of this application. To	H <b>IS THREE-MONTH I</b> PPLICATION (PTO-1	PERIOD IS NO	T EXTE	NDABLE.	
the bath of declaration is delicient. A SUBSTITUTE C	JATH OR DECLARATI	UN 15 REQUIRED.				
7. Applicant MUST submit NEW FORMAL DRAWINGS	•					
(a) including changes required by the Notice of Drafts	sperson's Patent Drawir	ng Review(PTO-948)	attached			
1) hereto or 2) to Paper No						
(b) including changes required by the proposed draw	ing correction filed	, which has been a	pproved by the	e examin	er.	
(c) including changes required by the attached Exam	iner's Amendment / Co	mment or in the Office	action of Pap	er No	·	
Identifying indicia such as the application number (so should be filed as a separate paper with a transmittal				îhe draw	ings	
8.  Note the attached Examiner's comment regarding RE	QUIREMENT FOR THE	DEPOSIT OF BIOLO	GICAL MATE	RIAL.		
Any reply to this letter should include, in the upper right hand corner, the APPLICATION NUMBER (SERIES CODE / SERIAL NUMBER). If applicant has received a Notice of Allowance and Issue Fee Due, the ISSUE BATCH NUMBER and DATE of the NOTICE OF ALLOWANCE should also be included.						
Attachment(s)						
<ul> <li>1⊠ Notice of References Cited (PTO-892)</li> <li>3□ Notice of Draftperson's Patent Drawing Review (PTO-94</li> <li>5□ Information Disclosure Statements (PTO-1449), Paper N</li> <li>7□ Examiner's Comment Regarding Requirement for Depos of Biological Material</li> </ul>	8) 4□ lo 6⊠ sit 8□	Photoprint Showing A Interview Summary (I Examiner's Amendme Examiner's Statemen Other .	PTO-413), Parent/Comment	per No	<u>·</u> ·	
		Pr	are E Heflin imary Examin t Unit: 2911	er		

Art Unit: 2911

#### **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

In the description of Fig. 6 the word "plane" has been changed to --plan--.

The following statement must be used to describe the broken lines on the drawing (MPEP § 1503.02, subsection III):

-- The broken lines shown on the drawings are for illustrative purposes only and form no part of the claimed design. --

The above statement has been inserted in the specification preceding the claim.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Clare Heflin whose telephone number is (571) 272-2604.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Alan Douglas can be reached at (571) 272-2588. The official fax phone number for both, regular communications and After Final communications is 703 872-9306.

Any inquiry of a general nature or relating to the status of this application should be directed to 2900 Customer Service, 703 306-5648. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Clare E Heflin
Primary Examiner
Art Unit 2911

#### Applicant(s)/Patent Under Reexamination CHEN, CHEN-FEN Application/Control No. 29/188,016 Notice of References Cited Examiner Art Unit Page 1 of 1 Clare E Heflin 2911

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name PE 49	Classification
*	Α	US-678,132	07-1901	Johnson &	16/110.1
*	В	US-D372,635	08-1996	Gebhardt, Paul E. SEP 및 6 2005 발	D7/401.2
*	ပ	US-D373,289	09-1996	Gebhardt, Paul E.	D7/401.2
*	D	US-D403,556	01-1999	Juhlin et al.	D7/401.2
*	E	US-D410,176	05-1999	Stokes, Andrew John	D7/649
*	F	US-6,343,421	02-2002	Levsen, Clark A.	30/342
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# FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
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## NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20040405



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.tsptc.gov

APPLICATION NO.	F	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
29/178,940		04/03/2003	Fu-Chi Lin	D00224-F008	9956
7:	590	04/02/2004	NPE	EXAM	INER
Fu-Chi Lin 7F, No. 213			4	MORRIS, S	ANDRA L
Civic Boulevard Se	ec. 4		( 252.2.2.2005	ART UNIT	PAPER NUMBER
Taipei, 105			SEP 2 6 7005	2912	
TAIWAN			TRADEMARKE	DATE MAILED: 04/02/200	4

# Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)

Design patents have a term measured from the issue date of the patent and the term remains the same length regardless of the time that the application for the design patent was pending. Since the above-identified application is an application for a design patent, the patent is not eligible for Patent Term Extension or Adjustment under 35 U.S.C. 154(b).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



			All
· <del></del>	Application No.	Applicant(s)	
Notice of Allowahility	29/178,940	LIN, FU-CHI	
Notice of Allowability	Examiner	Art Unit	
	Sandra Morris	2912	
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.31:	6 (OR REMAINS) CLOSED in ) or other appropriate commu RIGHTS. This application is so	this application. If not include	ed
1. This communication is responsive to			
2. The allowed-claim(s) is/are allowed.			-
3. A The drawings filed on 03 April 2003 are accepted by the E	Examiner.		
4. ☐ Acknowledgment is made of a claim for foreign priority u  a) ☐ All b) ☐ Some* c) ☐ None of the:  1. ☐ Certified copies of the priority documents have	e been received.		
2. Certified copies of the priority documents have			
3. Copies of the certified copies of the priority do	ocuments have been received	in this national stage applicat	tion from the
International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	of this communication to file MENT of this application.	a reply complying with the rec	quirements
<ol> <li>A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give</li> </ol>	nitted. Note the attached EXA es reason(s) why the oath or	MINER'S AMENDMENT or Note of the declaration is deficient.	OTICE OF
6. CORRECTED DRAWINGS ( as "replacement sheets") mus	st be submitted.		
<ul><li>(a) ☐ including changes required by the Notice of Draftspers</li></ul>	son's Patent Drawing Review	( PTO-948) attached	
1)  hereto or 2)  to Paper No./Mail Date			
<ul><li>(b) including changes required by the attached Examiner' Paper No./Mail Date</li></ul>	's Amendment / Comment or i	in the Office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t	1.84(c)) should be written on the	e drawings in the front (not the	back) of
<ol> <li>DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT</li> </ol>	sit of BIOLOGICAL MATE	RIAL must be submitted to	lote the
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Attachment(s)  1. ☑ Notice of References Cited (PTO-892)	5 Motion of 1-fe	armal Datant Acalla di a	150)
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)		ormal Patent Application (PTC mmary (PTO-413),	<i>)-</i> 152)
3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/0	Paper No./N	Mail Date Amendment/Comment	·
Paper No./Mail Date			
of Biological Material	o. ☐ Examiner's S	Statement of Reasons for Allov	wance
-	5. 🗀 Outel	SANDRA L. MORRI PRIMARY EXAMINE GROUP 2900	S R

#### Application/Control No. Applicant(s)/Patent Under Reexamination 29/178,940 LIN, FU-CHI Notice of References Cited Examiner Art Unit Page 1 of 1 Sandra Morris 2912 **U.S. PATENT DOCUMENTS** Document Number Date MM-YYYY Country Code-Number-Kind Code Name Classification Α US-D181,570 11-1957 Zeldman D21/605 В US-D221,343 08-1971 Chrones D21/605 \* US-D268,193 С 03-1983 Nakao et al. D21/604 US-D276,929 D 12-1984 Daugherty, Valerie D21/604 \* Е US-D285,227 08-1986 Coyne, Patrick J. D21/604 F US-D298,666 11-1988 Bussell, Vanda J. D21/604 G US-D469,479 01-2003 Foschino, Suzanne D21/605 USн 1 US-J US-Κ US-US-L US-М FOREIGN PATENT DOCUMENTS **Document Number** Date Country Country Code-Number-Kind Code Name MM-YYYY Classification Ν 0 Р Q R s Ţ NON-PATENT DOCUMENTS Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U CuddleWit catalog, received 2/1995. Bear 00057, top left. ٧ Hong Kong Toys, January 1998. Page 1323, bear toy RP215. w

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

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# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vieginia 22313-1450 www.uspio.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
29/183,258	06/10/2003	Ching Lin Gan	DJ0247-F007	8214
759	03/23/2004	OIPE	EXAM	INER
Ching Lin Gan		(RO)	SIMONE, D	OMINIC V
7 F., No. 213 Civic Blvd. Sec. 4		SEP 2 6 2005	ART UNIT	PAPER NUMBER
Taipei, 105		(A)	2912	
TAIWAN		TAADEMAN OF	DATE MAILED: 03/23/200	4

# Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)

Design patents have a term measured from the issue date of the patent and the term remains the same length regardless of the time that the application for the design patent was pending. Since the above-identified application is an application for a design patent, the patent is not eligible for Patent Term Extension or Adjustment under 35 U.S.C. 154(b).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

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Giant Group International Pat., TM & Law Office

	Application No.	Applicant(s)
		4
Notice of Allowability	29/183,258 Examiner	GAN, CHING LIN
•	Dominic Simone	Art Unit SEP 2 6 2005
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS nerewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	IGHTS. This application is subject t	ii wiii be iiialled iii dde codise. 11113
1. This communication is responsive to		
2. ☑ The allowed claim(s) is/are the single design claim.		
3. $igotimes$ The drawings filed on <u>10 June 2003</u> are accepted by the E	xaminer.	
4. Acknowledgment is made of a claim for foreign priority unexpense of the priority documents have a large copies	e been received. be been received in Application No cuments have been received in this of this communication to file a reply MENT of this application.  bitted. Note the attached EXAMINER es reason(s) why the oath or declar st be submitted.  son's Patent Drawing Review (PTO)  s Amendment / Comment or in the of 1.84(c)) should be written on the draw the header according to 37 CFR 1.121 bosit of BIOLOGICAL MATERIAL	national stage application from the complying with the requirements  2'S AMENDMENT or NOTICE OF ation is deficient.  9-948) attached  Office action of ings in the front (not the back) of (d).  must be submitted. Note the
Attachment(s)  1. ☑ Notice of References Cited (PTO-892)  2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)  3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/Paper No./Mail Date  4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	6. ☐ Interview Summar Paper No./Mail Da 08), 7. ☐ Examiner's Amend	ate

		Notice of Reference		29/183,258 Re GA		Applicant(s)/Patent Under Reexamination GAN, CHING LIN			
		Notice of Reference	s Cheu		Examiner Art Unit Dominic Simone 2912			Art Unit 2912	Page 1 of 1
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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY					40	Classification
X	Α	US-D448,547	10-2001	Savoie,	Armand J.	SFF	P 2 G	7	D2/962
X	В	US-D457,294	05-2002	Savoie,	Armand J.	127		<u>, u</u> /	D2/962
X	С	US-D459,866	07-2002	Gan, C	hing Lin	NA STEET	<u> </u>	RIO T	D2/962
X	D	US-D463,902	10-2002	Yang, C	Chin Lin		THERE		D2/962
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.zapto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
29/192,909	10/27/2003	Yi-Chuan Liu	D01646-F002	9554	
75	90 03/24/2004	0,, 5	EXAM	INER	
Yi-Chuan Liu		( PP50	ARONOVICH, ADIR		
7F., No. 213 CN Taipei, 105	vic Boulevard. Sec. 4	SEP 2 6 200r	ART UNIT	PAPER NUMBER	
TAÏWAN		\ <b>#</b>	2912		
		PARADEMARKO	DATE MAILED: 03/24/2004	1	

Please find below and/or attached an Office communication concerning this application or proceeding.

Receipt is Acknowledged

APR 2 9. 2004

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Giant Group International Pat., TM & Law Office

			Mi			
	Application No.	Applicant(s)				
Office Action Summers	29/192,909	LIU, YI-CHUAN				
Office Action Summary	Examiner	Art Unit				
9)	Adir Aronovich	2912	OIPE			
The MAILING DATE of this communication Period for Reply	n appears on the cover sheet w	ith the correspondence at	SEP 9 C 3			
A SHORTENED STATUTORY PERIOD FOR RITHE MAILING DATE OF THIS COMMUNICATION  Extensions of time may be available under the provisions of 37 CI after SIX (8) MONTHS from the mailing date of this communication  If the period for reply specified above is less than thirty (30) days,  If NO period for reply is specified above, the maximum statutory properties of the period for reply with the set or extended period for reply will, by any reply received by the Office later than three months after the earned patent term adjustment. See 37 CFR 1.704(b).	ON. FR 1.136(a). In no event, however, may a in. a reply within the statutory minimum of thir eriod will apply and will expire SIX (6) MON statute, cause the application to become As	reply be timely filed  by (30) days will be considered time that from the mailing date of this can be seen to the seen that the mailing date of the seen that the mailing date of this can be seen to the seen that	70 70 15 July 1			
Status						
1) Responsive to communication(s) filed on	<u>·</u>					
2a) This action is <b>FINAL</b> . 2b)	This action is non-final.					
3)⊠ Since this application is in condition for all			e merits is			
closed in accordance with the practice und	der <i>Ex parte Quayle</i> , 1935 C.D	. 11, 453 O.G. 213.				
Disposition of Claims						
4) The claim is pending in the application.						
4a) Of the above claim(s) is/are with	ndrawn from consideration.					
5)⊠ The claim is allowed.						
6) The claim is rejected.						
7) Claim(s) is/are objected to.						
8) The claim is subject to restriction and/or e	lection requirement.					
Application Papers						
9) The specification is objected to by the Example 1	miner.					
10)⊠ The drawing(s) filed on 27 October 2003 is	s/are: a)□ accepted or b)⊠ o	bjected to by the Examir	ner.			
Applicant may not request that any objection to		, ,				
Replacement drawing sheet(s) including the co						
11)☐ The oath or declaration is objected to by the	e Examiner. Note the attached	Office Action or form P	TO-152.			
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No.  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a	• • • • • • • • • • • • • • • • • • • •	received.				
Attachment(s)	<b>Λ</b> □					
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> </ol>	3) filed:	Summary (PTO-413)				
3) Information Disclosure Statement(s) (PTO-1449 or PTO/Si filed:	B/08) 5) D Notice of I	nformal Patent Application (PT Continuation Sheet.	O-152)			

Continuation of Attachment(s) 6). Other: Copies of the drawings- 2 sheets.

Application/Control Number: 29/192,909

Art Unit: 2912

The declaration is defective. A new oath or declaration in compliance with 37 CFR 1.67(a) identifying this application by application number and filing date is required. See MPEP §§ 602.01 and 602.02.

The declaration is defective because the inventor's signature appears in a wrong place (under the box of a second inventor). Applicant must provide his signature in the box under his name (under the box of a sole inventor).

The drawings filed Oct. 27, 2003 are objected to for the following reasons:

- All views are devoid of any surface shading. In order to differentiate between open and solid surfaces on the drawing, proper surface shading is essential;
- The solid black lines bleeding together are not permissible and should be clearly separated in the areas indicated in the enclosed photoprints;

The necessity for good drawings in a design patent application cannot be overemphasized. As the drawing constitutes the whole disclosure of the design, it is of utmost importance that it be so well executed both as to clarity of showing and completeness, that nothing regarding the design sought to be patented is left to conjecture. An insufficient drawing may be fatal to validity. (35 U.S.C. 112, first paragraph). Moreover, an insufficient drawing may have a negative effect with respect to the effective filing date of a continuing application.

The drawing figures should be appropriately shaded to show clearly the character and/or contour of all surfaces represented. This is of particular importance in the showing of three (3) dimensional articles where it is necessary to delineate plane, concave, convex, raised, and/or depressed surfaces of the subject matter, and to distinguish between open and closed areas.

Applicant is required to correct the above drawing deficiencies and submit properly executed formal design drawings (see 37 C.F.R. § 1.152 and § 1.84).

Application/Control Number: 29/192,909

Art Unit: 2912

When preparing new drawings in compliance with the requirement therefor, care must be exercised to avoid introduction of anything which could be construed to be <u>new matter</u> prohibited by 35 U.S.C. 132 and 37 CFR 1.121 (a) (6).

The following statement must be used to describe the broken lines in the drawing (MPEP § 1503.02, subsection III):

-- The broken line showing of a cord and connector in Fig.8 is for illustrative purposes only and forms no part of the claimed design. --

The above statement should be inserted in the specification immediately preceding the claim.

Moreover, for accuracy, the term "plane" in the descriptions of Figs. 6 and 7 should be amended to read as "plan".

The claimed design is patentable over the references cited.

This application is in condition for allowance except for the following formal matters: correction of the drawing disclosure and amendment of the specification.

Prosecution on the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.

# A SHORTENED STATUTORY PERIOD FOR REPLY TO THIS ACTION IS SET TO EXPIRE TWO MONTHS FROM THE MAILING DATE OF THIS LETTER.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Adir Aronovich whose telephone number is (571) 272-2615. The examiner can normally be reached on Tuesday-Friday 7:30 am-5:00 pm. The examiner can also be reached on alternate Mondays.

Application/Control Number: 29/192,909

Art Unit: 2912

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Theodore Shooman can be reached on (571) 272-2625. The fax phone numbers for the organization where this application or proceeding is assigned is (703) 872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

March 22, 2004

Adir Aronovich Primary Examiner Art Unit 2912

Holir Mando

Page 4

# Notice of References Cited Application/Control No. 29/192,909 Examiner Adir Aronovich Applicant(s)/Patent Under Reexamination LIU, YI-CHUAN Page 1 of 1

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*	,	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
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X	В	US-D394,274	05-1998	Suzuki, Shogo	D16/202
X	C	US-D430,587	09-2000	Summit, Scott	D16/202
X	D	US-D433,432	11-2000	Prather et al.	D16/202
X	ш	US-D442,202	05-2001	Pfeifer et al.	D16/202
X	Щ	US-D470,875	02-2003	Llao, Li Chung	D16/202
X	G	US-D478,922	08-2003	Han, Ming-Chun	D16/202
Х	H	US-5,855,343	01-1999	Krekelberg, David E.	248/121
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	J	US-			
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# FOREIGN PATENT DOCUMENTS

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### **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20040322

# The United States America

# The Director of the United States Patent and Trademark Office

Has received an application for a patent for a new and useful invention. The title and description of the invention are enclosed. The requirements of law have been complied with, and it has been determined that a patent on the invention shall be granted under the law.

Therefore, this

# **United States Patent**

Grants to the person(s) having title to this patent the right to exclude others from making, using, offering for sale, or selling the invention throughout the United States of America or importing the invention into the United States of America for the term set forth below, subject to the payment of maintenance fees as provided by law.

If this application was filed prior to June 8, 1995, the term of this patent is the longer of seventeen years from the date of grant of this patent or twenty years from the earliest effective U.S. filing date of the application, subject to any statutory extension.

If this application was filed on or after June 8, 1995, the term of this patent is twenty years from the U.S. filing date, subject to any statutory extension. If the application contains a specific reference to an earlier filed application or applications under 35 U.S.C. 120, 121 or 365(c), the term of the patent is twenty years from the date on which the earliest application was filed, subject to any statutory extensions.

Mass

Acting Director of the United States Patent and Trademark Office

# **NOTICE**

If the application for this patent was filed on or after December 12, 1980, maintenance fees are due three years and six months, seven years and six months, and eleven years and six months after the date of this grant, or within a grace period of six months thereafter upon payment of a surcharge as provided by law. The amount, number of timing of the maintenance fees required may be changed by law or regulation. Unless payment of the applicable maintenance fee is received in the United States Patent and Trademark Office on or before the date the fee is due or within a grace period of six months thereafter, the patent will expire as of the end of such grace period.



# (12) United States Patent Kuo

(10) Patent No.:

US 6,712,223 B2

(45) Date of Patent:

Mar. 30, 2004

(54)	CD ORGANIZATION DEVICE					
(76)	Inventor:	Hsin-Wen Kuo, 18F1., No. 131., Shinjuang 1st Rd., Kaohsiung (TW)				
(*)	Notice:	Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.				
(21)	Appl. No.	10/187,172				
(22)	Filed:	Jul. 2, 2002				
(65)		Prior Publication Data				
	US 2004/00	04045 A1 Jan. 8, 2004				
(51)	Int. Cl.7	A47G 29/00				
(52)	U.S. Cl	211/40				
(58)	Field of S	earch 211/40, 194, 41.1, 211/41.12, 43, 175				
(56)		References Cited				
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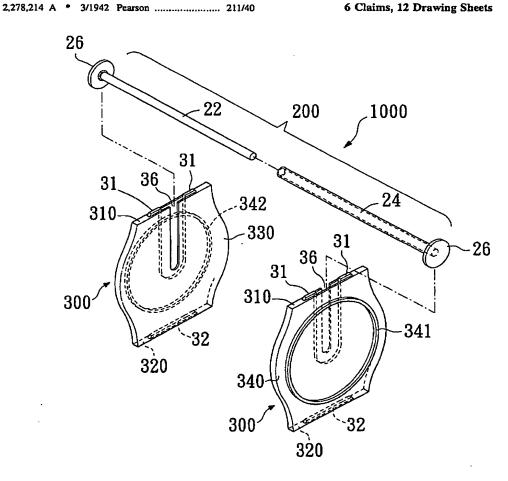
<sup>\*</sup> cited by examiner

Primary Examiner-Alvin Chin-Shue Assistant Examiner-Sarah Purol

#### (57)**ABSTRACT**

A CD organization device includes a telescopic component and two supports. The user can take the target disc by using the telescopic component and a location disc. A horizontal fixed component is on the upper side and lower side, respectively, of two supports and a vertical fixed component is on the outer surface of the two supports. Using the horizontal fixed component or the vertical fixed component allows two CD organization devices to be combined horizontally or vertically.

#### 6 Claims, 12 Drawing Sheets



# The United States America

# The Director of the United States Patent and Trademark Office

Has received an application for a patent for a new and useful invention. The title and description of the invention are enclosed. The requirements of law have been complied with, and it has been determined that a patent on the invention shall be granted under the law.

Therefore, this

# **United States Patent**

Grants to the person(s) having title to this patent the right to exclude others from making, using, offering for sale, or selling the invention throughout the United States of America or importing the invention into the United States of America for the term set forth below, subject to the payment of maintenance fees as provided by law.

If this application was filed prior to June 8, 1995, the term of this patent is the longer of seventeen years from the date of grant of this patent or twenty years from the earliest effective U.S. filing date of the application, subject to any statutory extension.

If this application was filed on or after June 8, 1995, the term of this patent is twenty years from the U.S. filing date, subject to any statutory extension. If the application contains a specific reference to an earlier filed application or applications under 35 U.S.C. 120, 121 or 365(c), the term of the patent is twenty years from the date on which the earliest application was filed, subject to any statutory extensions.

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Acting Director of the United States Patent and Trademark Office

# **NOTICE**

If the application for this patent was filed on or after December 12, 1980, maintenance fees are due three years and six months, seven years and six months, and eleven years and six months after the date of this grant, or within a grace period of six months thereafter upon payment of a surcharge as provided by law. The amount, number of timing of the maintenance fees required may be changed by law or regulation. Unless payment of the applicable maintenance fee is received in the United States Patent and Trademark Office on or before the date the fee is due or within a grace period of six months thereafter, the patent will expire as of the end of such grace period.



# (12) United States Patent Mao-Sung

(10) Patent No.:

US 6,727,897 B1

(45) Date of Patent:

Apr. 27, 2004

(54)	SELECTOR	PEN	FOR	TOUCH	SCREEN

(75) Inventor: Wu Mao-Sung, Taoyuan (TW)

Assignee: Senton Enterprise Co., Ltd., Pa Te

(TW)

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35

U.S.C. 154(b) by 279 days.

(21) Appl. No.: 10/054,991

(22) Filed: Jan. 25, 2002

(51) Int. Cl.<sup>7</sup> ...... G09G 5/00

(52) 

Field of Search ...... 178/19.01; 345/179; (58) 382/313, 314; 401/45, 195

(56)References Cited

U.S. PATENT DOCUMENTS

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2003/0053840 A1 *	3/2003	Lapstun ct al 401/45

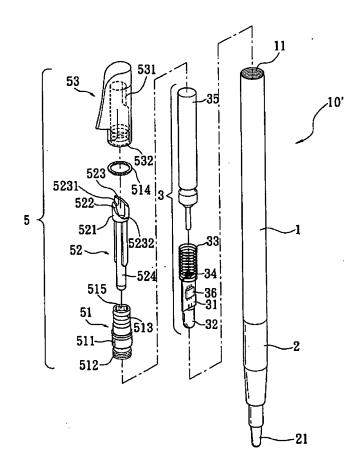
\* cited by examiner

Primary Examiner-Richard Hjerpe Assistant Examiner-Shaima Aminzay

**ABSTRACT** 

A selector pen for touch screen includes a barrel having a light emitter mounted therein to be turned on or off through turning of a switch rotatably connected to a rear end of the barrel. The switch includes a base defining a non-circular insertion hole, a movable rod being fixedly received in the insertion hole of the movable rod and having an inclined top formed into a spiral guide surface, and a cap being rotatably covered onto the base and having an internal projection. When the cap is turned, the projection slides along the spiral guide surface to gradually depress the movable rod for the same to electrically make the light emitter. The switch has simplified structure and the spiral guide surface defines a definite range of turning for the cap.

#### 3 Claims, 6 Drawing Sheets





P02995-F0065

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 01, 2004

PTAS

\*102568729A\*

CHI-TUNG CHANG 7F, NO. 213, CIVIC BOULEVARD SEC. 4 TAIPEI, TAIWAN, R.O.C.

Receipt is Acknowledged

MAY 1 9 7004

Pat., TM & Law Office

Giant Group International

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/22/2003

REEL/FRAME: 014551/0287

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHANG, CHI-TUNG

DOC DATE: 09/09/2003

**ASSIGNOR:** 

TSAI, HUNG-CHOU

DOC DATE: 09/09/2003

ASSIGNOR:

YANG, SHIH-HSIENG

DOC DATE: 09/09/2003

ASSIGNEE:

ALCOR MICRO, CORP.

4F-1, NO. 200, KANG CHIEN RD., NEI

TAIPEI, TAIWAN R.O.C.

SERIAL NUMBER: 10670839

FILING DATE: 09/22/2003

PATENT NUMBER:

ISSUE DATE:

10-07-2003





102568729 RECORDATION FO

(Rev 10/02)		W COVER SHEET	U.S. Patent and Trademark Off	ice	
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To the Honorable Commission	er of Patents and Trademarks:	Please record the attache	d original documents or copy thereof.	v.≇	3 📱
1. Name of conveying party(ies):	9.22.03	2. Name and addre	ess of receiving party(ies)	>¥	5 ≣
Chi-Tung Chang	4.740)	1	cor Micro, Corp.	8€	?
Hung-Chou Tsai	_	1		-21	7 🖥
Shih-Hsieng Yang		Internal Address	Same as below	_7	_
Additional name(s) of conveying party(ies	s) attached? ☐: Yes ⊞: No			_	•
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Assignment	☐ Merger			-	
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Security Agreement	☐ Change of Name	Nei Hu,		-	•
☐ Other		Nei na,		- 1	
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Execution Date: Sep. 9, 200	)3	Additional name(s) 8	address(es) attached? 🖳 Yes 🖽 No	,	
4. Application number(s) or pater	nt number(s):	- ·· · · ·		$\neg$	
If this document is being filed t	ogether with a new applic	cation, the execution	date of the application is:	-	
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	Additional numbers atta	ached? 🖳 Yes 📭 No			
<ol><li>Name and address of party to concerning document should b</li></ol>		6. Total number of a	pplications and patents involved:		
Name: Chi-Tung Chan		7. Total fee (37 CFF	R 3.41)\$		
Internal Address: Same as		Enclosed			
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is a true copy of the original do	cument.	0,-	. /	_	
Chi-Tung Chang	<del></del>	(-/1/1	Sep. 9, 200	J3	
Name of Person Signir	ng	Signature -	Date		
Total no	umber of pages including cover	sheet attachments and	documents:		

Mail documents to be recorded with required cover sheet information to:

Commissioner of Palents & Trademarks, Box Assignments

Washington, D.C. 20231

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#### **ASSIGNEMENT**

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

> Alcor Micro, Corp. 4F-1, No. 200, Kang Chien Rd., Nei Hu, Taipei, Taiwan, R.O.C.

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to his invention relating to

PORTABLE DATA STORAGE DEVICE ALLOWING DYNAMIC SETTING OF DISK TYPE AND THE METHOD OF DYNAMICALLY SETTING DISK TYPE THEREOF

as set forth in his United States Pa	tent Application
[ x ] executed conc	urrently herewith
( ) executed on _	
( ) Serial No	filed

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors, legal representatives or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees that at any time upon request of said ASSIGNEE, its successors, legal representatives or assigns he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said

ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted thereof or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the Untied States for said invention.

Chi-Tung Chang	Di h	Sep. 9, 2003
Name of sole or first inventor	Signature	Date
Hung-Chou Tsai	. X Duon	Sep. 9, 2003
Name of second inventor, if any	Signature	Date
Shih-Hsieng Yang	X Yang Shih	-hsien Sep. 9, 2003
Name of third inventor, if any	Signature	Date
Name of fourth inventor, if any	Signature	Date
Name of fifth inventor, if any	Signature	 Date

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# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO
29/169,692	05/25/2004	D490226	D01955-F004	2205
7590	05/06/2004	/0	• .\	
Yi-Ting Yu 7Fl., No.213, Civic Bl Taipei, 105	vd. Sec.4	SEF	2 6 2005 B Rece	ipt is Acknowledged
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	ISS	SUE NOTIFICAT	TION Giant	Group International, TM & Law Office

The projected patent number and issue date are specified above.

# Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)

Design patents have a term measured from the issue date of the patent and the term remains the same length regardless of the time that the application for the design patent was pending. Since the above-identified application is an application for a design patent, the patent is not eligible for Patent Term Extension or Adjustment under 35 U.S.C. 154(b).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

### APPLICANT(S):

Yi-Ting Yu, Ping-Tung Hsien, TAIWAN;



# United States Patent and Trademark Offici

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMISSIONER FOR PATENTS

150 Virginia 22313-1450

FILING OR 371 (c) DATE APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO DRAWINGS TOT CLMS IND CLMS 10/735,455 12/09/2003 3742 530 P03137-F001

Chang-Cheng Lai 7F., No. 213, Civic Boulevard. Sec. 4 Taipei, 105 TAIWAN

**CONFIRMATION NO. 2045 UPDATED FILING RECEIPT** \*OC000000012582766\*

Receipt is Acknowledged

MAY 2 1, 2004

Giant Group International Pat, TM & Law Office

Date Mailed: 05/10/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Chang-Cheng Lai, Kaohsiung County, TAIWAN;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 03/23/2004

Projected Publication Date: 06/09/2005

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Sauna oven

**Preliminary Class** 

392

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Receipt is Acknowledged

MAY 2 1 2004 &

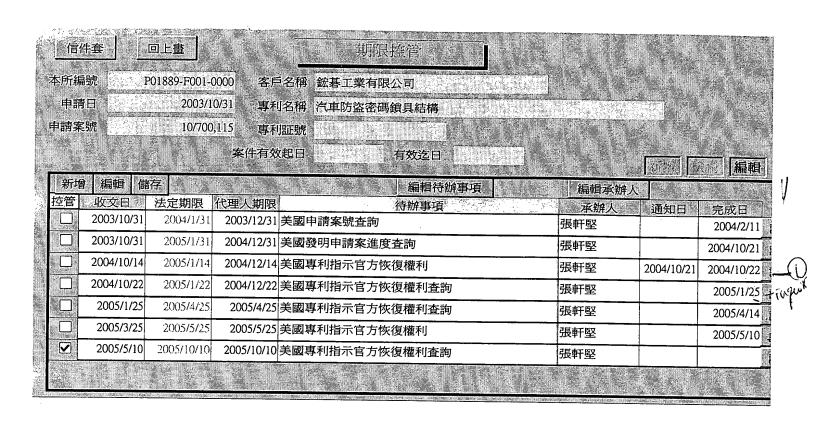
Giant Group International
Pat., TM & Law Office

The computer database system used in our company is called IMPS (Intellectual property of Management System) version 5.2, which we use to control our administration documents. We printed out the database of the patent application named, Anti-Theft Combination Lock car, with code number used in our system as P01889-F001-0000. The following information are translated in accordance with the printed sheet of our database system.

- 31<sup>st</sup> October 2003, we filed a patent application named Anti-Theft Combination Lock for cars to the USPTO.
- 2. We logged in the data and pre-set a date, on 31<sup>st</sup> January 2004 as the dateline that if we did not receive a confirmation receipt of the filing patent application from the USPTO, we would enquire the USPTO office in writing regarding the patent application filed in 31<sup>st</sup> October 2003.
- On 11<sup>th</sup> February 2004 we received a filing receipt from USPTO and we logged in the data into the our database system.
- 4. We also pre-set a date, 1<sup>st</sup> January 2005, as the deadline that if we did not receive an Office Action or any response from the USPTO before this date we will enquire the USPTO by phone or in writing.
- On 21<sup>st</sup> October 2004, we received a Notice of Abandonment mailed on 14<sup>th</sup>
  October 2004 from USPTO.

- We logged in the data and filed a Petition to withdraw holding of abandonment on
   22nd October 2004 to USPTO.
- 7. We pre-set a date, 22<sup>nd</sup> January 2005 into our system as the due date that if we did not receive any response from USPTO before the due date, then we enquire the USPTO regarding the filed petition on 22<sup>nd</sup> October 2004.
- 8. We phoned USPTO on 25<sup>th</sup> of January 2005 to inquire the filed petition, we were informed by the USPTO that the petition was in the process.
- 9. We then pre-set a date, 25<sup>th</sup> April 2005 as the deadline for the second enquiry that if we still have not received any response from USPTO, we would phone USPTO again to enquire the petition filed on 22<sup>nd</sup> October 2004.
- 10. On 14<sup>th</sup> April 2005, we received a second Notice of decision on petition to withdrawn the holding of abandonment, mailed on 25<sup>th</sup> March 2005 to inform us to submit requested documents.
- 11. On **10th May 2005**, we filed a second Petition to Withdraw the Holding of abandonment based on failing to receive a Non-final Office Action from US Patent Office.
- 12. On 10<sup>th</sup> August 2005, I received a third Notice of decision on petition to withdraw the holding of abandonment, mailed on 26<sup>th</sup> July 2005.
- 13. Accordingly, based on the above database in our system, we affirmably state that

we have never received the Office Action, which the USPTO claimed to mail on 1st April 2004.



## CERTIFICATION ON THE TRANSLATION

The followings are translations of docket records from USPTO The translation for Page 2/6

Date	Ref.	Received	Type	Subject	Attachment	Note
	No.	from	ļ			
April 21, 2004		USPTO		29/189,889	Notice of Recordation	
					of Assignment	
					Document	
		USPTO		29/189,888	Notice of Recordation	
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April 22, 2004						
April 23, 2004						
April 26, 2004		USPTO		29/189,890	Notice of Recordation	
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April 27, 2004						
April 28, 2004		USPTO		29/188,016	Notice of Allowance	
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April 29, 2004	}	USPTO		29/178,940	Notice of Allowance	
					and Fee Due(s)	
		USPTO		29/183,258	Notice of Allowance	
					and Fee Due(s)	
		USPTO		29/192,909	Office Action	
		USPTO		10/187,172	Certificate	
April 30, 2004						
May 3, 2004						
May 4, 2004						
May 5, 2004						
May 6, 2004		USPTO		29/189,809	Issue Notification	
May 7, 2004						



The followings are translations of docket records from USPTO The translation for Page 3/6

Date	Ref.	Received	Type	Subject	Attachment	Note
	No.	from				
May 13, 2004		USPTO		10/054,991	Certificate	
		USPTO		10/618,221	Office Action	
May 14, 2004		USPTO		09/945.647	Issue Notification	
May 17, 2004		USPTO		10/670,705	Notice of Recordation	
					of Assignment	
					Document	
		USPTO		10/670,839	Notice of Recordation	
					of Assignment	
					Document	
May 18, 2004		USPTO		29/159,692	Issue Notification	
May 19, 2004				···		
May 20, 2004		USPTO		10/735,455	Filing Receipt	
May 21, 2004						
May 24, 2004				29/183,258	Notice of Allowance	
					and Fee Due(s)	
May 25, 2004		USPTO		10/678,452	Notice of Recordation	
					of Assignment	
					Document	
		USPTO		09/945,647	Certificate	



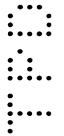
The followings are translations of docket records from USPTO The translation for Page 4/6

Date	Ref.	Received	Туре	Subject	Attachment	Note
	No.	from				
June 1, 2004		USPTO		10/787,388	Filing Receipt	
		USPTO		10/700,114	Office Action	
June 2, 2004		USPTO		10/787,403	Filing Receipt	
		USPTO		10/792,545	Filing Receipt	
		USPTO		29/220,727	Filing Receipt	
		USPTO		10/696,359	Filing Receipt	
		USPTO		10/723,194	Filing Receipt	
June 3, 2004		USPTO		10/787,463	Filing Receipt	
		USPTO		10/696,359	Notice of Recordation	
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June 4, 2004						
June 7, 2004		USPTO		10/455,401	Notice of Allowance	
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## The followings are translations of docket records from USPTO The translation for Page 5/6

Date	Ref.	Received	Туре	Subject	Attachment	Note
	No.	from				
June 8, 2004		USPTO		29/202,074	Filing Receipt	
June 9, 2004						
June 10, 2004		USPTO		10/455,401	Certificate	
		USPTO		29/169,692	Certificate	
June 11, 2004		USPTO		10/700,114	Notice of Recordation	
					of Assignment	
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June 14, 2004						
June 15, 2004		USPTO		10/697,818	Notice of Recordation	
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June 16, 2004		USPTO		09/159,823	Maintenance statement	
		USPTO		10/703,912	Notice of Recordation	
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June 17, 2004						
June 18, 2004		USPTO		29/182,976	Notice of Allowance	
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## The followings are translations of docket records from USPTO The translation for Page 6/6

Date	Ref.	Received	Type	Subject	Attachment	Note
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June 23, 2004		USPTO		10/812,673	Filing Receipt	
June 24, 2004		USPTO		10/700,115	Notice of Publication	
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June 29, 2004						<del> </del>
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July 1, 2004		USPTO		10/720,85	Notice of Recordation of Assignment Document	
		USPTO		10/818,065	Filing Receipt	
		USPTÓ		29/197,526	Notice of Allowance and Fee Due(s)	
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DECISION ON PETITION TO WITHDRAW THE HOLDING OF ABANDONMENT

Chi-Jung Wang 7F., No. 213 Civic Boulevard. Sec. 4 Taipei, 105 Taiwan

In re Application of Chi-Jung Wang Application No. 10/700,115 Filed: October 31, 2003

For: ANTI-THEFT COMBINATION LOCK

FOR CAR

This is a decision on applicant's petition to withdraw the holding of abandonment, filed in the United States Patent and Trademark Office (USPTO), on October 27, 2004.

The petition is **DISMISSED**.

A review of the file record reveals that the application became abandoned for failure to respond to the Office action mailed to applicant on April 1, 2004. A Notice of Abandonment was mailed on October 14, 2004.

Applicant's petition indicates that the Office action was never received. In support thereof applicant has provided a log of papers received. This is not considered to be a docket record.

There is a strong presumption that Office communications properly addressed and delivered to the United States Postal Services, are in fact delivered to the addressee. An allegation that the Office communication was not received must be overcome by a showing that it was not received.

The showing required to establish non-receipt of an Office communication must include all of the following requirements:

- (1) A statement from the practitioner stating the Office communication was not received by the practitioner;
- (2) A statement attesting to the fact that a search of the file jacket and docket records indicates that the Office communication was not received; and
- (3) A copy of the docket record where the non-received Office communication would have been entered had it been received and docketed must be attached to and referenced in practitioner's statement.

The docket records indicated above must include <u>a copy of the list of all responses in the practitioner's office with the due date at and around July 1, 2004</u>. See Notice entitled "Withdrawing the Holding of Abandonment When Office Actions Are Not Received," 1156 OG 53 (November 16, 1993).

The petitioner has failed to comply with requirement (3) indicated above. Applicant has only set forth that the Office action mailed April 1, 2004 was not received or placed in the file for application 10/700,115. A complete docket record with a copy of the list of all responses in the practitioner's office with the due date at and around July 1, 2004 is required.

Petitioner's evidence of non-receipt of the Office action mailed April 1, 2004 is insufficient to withdraw the holding of abandonment, and the petition is **DISMISSED**.

Any request for reconsideration of this decision must be submitted within **TWO (2) MONTHS** from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition Under 37 CFR 1.181."

Correspondence with respect to a Petition to Withdraw the Holding of Abandonment under *Delgar Inc. v. Schuyler* should be mailed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kenneth J. Dorner

Special Program Examiner
Patent Technology Center 3600

(703) 308-0866

KJD/jwk: 3/22/05